Report title and date	**Sectoral fishery Assessment or Ingredient Manufacture Assessment Summary Report, v1.0** X.X.XX
Instructions	This template is intended for reporting a summary of Due Diligence pathways (**"sectoral fishery assessment"** or **"ingredient manufacture assessment"** under Principle 2. Reporting is at a GoC level and on the GoC basis. The GoC should select the pathway and seal the type of assessment (whether ingredient manufacturer or plant/marine primary raw material). The GoC orders the date the assessment was conducted. The GoC selects the primary raw material assessed (if applicable). If primary raw material is not fixed, the GoC orders the common name and latin name. The GoC selects the risk factor assessed. The GoC selects the FAO fishing area (ingredient manufacturer) or production (plant primary raw material). For marine primary raw material, 'Fishery' is selected as the Country of location. The GoC selects the FAO fishing area for the marine primary raw material. The GoC orders a summary description of the risk assessment (max 1500 characters). The GoC selects the risk factors flagged as non-negligible. The GoC orders a summary description of any measures taken to ensure low risk (for ingredient manufacturer assessment only) (max 1500 characters). The GoC orders a summary description of implemented monitoring program to a) measure the effectiveness of any measure taken to ensure low risk (if applicable) and b) monitor the risk factors, or indicators for the risk factors, to ensure the risk level determined remains valid (max. 1500 characters). A new assessment is either added for each assessment and the results of the former assessment remain there even

Table 1. Summary of due diligence pathways 2 and 3 report

Pathway used	Type of Assessment	Date of Due Diligence Assessment (copy over dd)	Primary Raw Material "common name (latin name)"	Risk Factor Assessed	Country and sub-jurisdictions (select "Fishery" if Marine primary raw material)	FAO Fishing area (if Marine primary raw material)	Summary description of the risk assessment (max 1500 characters)	Links to any publicly available resource used	Summary description of any measures taken to ensure low risk (if applicable) (max 1500 characters)	Summary description of implemented monitoring program (max 1500 characters)
Pathway 2 Ingredient Manufacturer assessment	Ingredient Manufacturer	2026-05-20	Fishmeal,Fishoil (Trichiurus lepturus)	Legal	Vietnam		The company is located within the Go Vap Industrial Park, an area subject to strict regulatory oversight. - Business Registration Certificate: 1200458926 - Record of Inspection regarding Environmental Protection: 01/XNXN-2021 - Environmental license/environmental impact registration documents: 1200458926 - [GEOPHY LB&D] - Approved environmental protection project (December 2025)		Assessments of the status of governance and law enforcement in the source region.	Keep risk levels under review and verify that all safeguards are working as intended. Perform a formal risk audit every three years, or sooner if risk factors fluctuate or if a high-risk' status is identified.
Pathway 2 Ingredient Manufacturer assessment	Ingredient Manufacturer	2026-05-20	Fishmeal,Fishoil (Trichiurus lepturus)	Environmental	Vietnam		5.4B Water Use / Groundwater extraction licenses No. 180 /GP-BNM2 - Water usage monitoring records - Water monitoring records 5.1/5 Waste handling: Non-hazardous waste collection contracts No. 376/ 2024 HDKT valid until December 31, 2025 - Hazardous waste collection contracts No. 1200458926 - 001-HN-VT-NB-VAD -0025 -valid until December 31, 2025 - Scrap sale contract No. 01/(e 2025-CQ-TI, published on January 1, 2025 5.2/5 Effluent handling: Discharge permit (specified in section 5.4 of the environmental license) - Wastewater management plan + monitoring records - L.CU-CHC- Records of wastewater treatment		Document review; interviews with key stakeholders	Keep risk levels under review and verify that all safeguards are working as intended. Perform a formal risk audit every three years, or sooner if risk factors fluctuate or if a high-risk' status is identified.
Pathway 2 Ingredient Manufacturer assessment	Ingredient Manufacturer	2026-05-20	Fishmeal,Fishoil (Trichiurus lepturus)	Social	Vietnam		1.2/ The company complies with applicable labor laws and regulations. The Collective Labor Agreement between Labor Regulations, Collective Labor Agreement No. 01/2025/HĐLĐTT signed on week and employer files. The lowest wage currently paid by the company is VND 6,750,000/month, which is above the applicable regional minimum wage of VND 6,000,000/month. Working hours, overtime, leave, and employee benefits examinations are implemented in accordance with applicable legal requirements. 1.3/ 1.4/ 1.5/ There are policies and procedures related to child and young labor, forced labor, non-discrimination, and complaint mechanisms. However, the canteen does not cover		Document review; interviews with key stakeholders	Keep risk levels under review and verify that all safeguards are working as intended. Perform a formal risk audit every three years, or sooner if risk factors fluctuate or if a high-risk' status is identified.
Pathway 2 Ingredient Manufacturer assessment	Ingredient Manufacturer	2026-05-20	Blue Shrimp (Litopenaeus vannamei)	Legal	Vietnam		The company is located in Ca Mau Province, within the Nhung Mien – Ganh Hao Industrial Park – and operates under strict state regulations. Business Registration Certificate No. 1803177239 was initially issued by the An Giang Department of Finance on December 31, 2015, and the fifth amendment was registered on March 22, 2025, with the latest update being registered by Investment Promotion Agency of Ca Mau under Decision No. 43/TB-MBĐ, dated October 3, 2025, for its operations in Da Bo Commune. 1.1/ Water handling: The company uses tap water supplied by Nui An Giang Water Supply Plant under Contract No. UBD/2025/D (signed on March 05, 2024) to support daily production, laundry, and sanitation facilities. 1.2/ Waste handling: The company has signed Contract No. 24-25/JSB-WEX-WASTE-CTR (dated February 20, 2024) for the collection of domestic waste. Additionally, Contract No. 48/DB-2025 / WEXCT-TRUCK for the collection and transportation of sludge from its waste-water treatment system prior to discharge; the annual environmental service fee is		Assessments of the status of governance and law enforcement in the source region.	Keep risk levels under review and verify that all safeguards are working as intended. Perform a formal risk audit every three years, or sooner if risk factors fluctuate or if a high-risk' status is identified.
Pathway 2 Ingredient Manufacturer assessment	Ingredient Manufacturer	2026-05-20	Blue Shrimp (Litopenaeus vannamei)	Environmental	Vietnam		The company is located in Ba Thanh Hamlet, an area where numerous rice lines processing companies operate and are the river and subject to strict governmental oversight. 1.1/ Water handling: The applicable wastewater usage for Region III in 2026 is VND 6,150/m3/month. 1.4, 1.5, 1.6, 1.10/ There are policies and procedures related to child and young labor, forced labor, non-discrimination and complaint mechanisms. 1.2/ Effluent handling: Wastewater is treated before discharge. The annual environmental service fee is		Document review; interviews with key stakeholders	Keep risk levels under review and verify that all safeguards are working as intended. Perform a formal risk audit every three years, or sooner if risk factors fluctuate or if a high-risk' status is identified.
Pathway 2 Ingredient Manufacturer assessment	Ingredient Manufacturer	2026-08-04	Blue Shrimp (Litopenaeus vannamei)	Social	Vietnam		1.2/ The company complies with applicable labor laws and regulations. The Collective Labor Agreement No. 08/2025 ensures that the job position, wage and salaries/ insurance benefits are specified in accordance with the Labor Code 2019. The applicable minimum wage for Region III in 2026 is VND 6,150,000/month. 1.4, 1.5, 1.6, 1.10/ There are policies and procedures related to child and young labor, forced labor, non-discrimination and complaint mechanisms.		Document review; interviews with key stakeholders	Keep risk levels under review and verify that all safeguards are working as intended. Perform a formal risk audit every three years, or sooner if risk factors fluctuate or if a high-risk' status is identified.
Pathway 2 Ingredient Manufacturer assessment	Ingredient Manufacturer	2026-05-20	Blue Shrimp (Litopenaeus vannamei)	Legal	Vietnam		The company is located in Ban Thanh Hamlet, an area where numerous rice lines processing companies operate and are the river and subject to strict governmental oversight. Business Registration Certificate No. 1800570988, initially registered on April 10, 2015, with an amendment registered on August 21, 2021, at the An Giang Department of Finance. The company operates a rice drying plant and a rice drying facility and has received all environmental permits for Rice Thanh Rice Milling Plant from the. NQ/DNVT-18/HĐ dated June 24, 2025. - Rice Drying Facility - Drying Plant from the No. 80/DNVT-18/HĐ dated June 24, 2025		Assessments of the status of governance and law enforcement in the source region.	Keep risk levels under review and verify that all safeguards are working as intended. Perform a formal risk audit every three years, or sooner if risk factors fluctuate or if a high-risk' status is identified.
Pathway 2 Ingredient Manufacturer assessment	Ingredient Manufacturer	2026-05-20	Blue Shrimp (Litopenaeus vannamei)	Environmental	Vietnam		The company is located in Ba Thanh Hamlet, an area where numerous rice lines processing companies operate and are the river and subject to strict governmental oversight. 1.1/ Water handling: The company uses tap water from a legal supply system, utilizing meters and retaining invoices/documentation to verify consumption. Water usage is monitored periodically, with no signs of groundwater extraction or open wells detected. As the company does not extract groundwater, groundwater extraction permit requirements do not apply. 1.2/ Effluent handling: Waste management plan and building permit Contract No. 03/ct/2025/HĐCQ-TTDK-AD dated March 24, 2025, for the collection, transportation, and treatment of wastewater. 1.2/1.3/ Effluent handling: As specified in Section 5 of the		Document review; interviews with key stakeholders	Keep risk levels under review and verify that all safeguards are working as intended. Perform a formal risk audit every three years, or sooner if risk factors fluctuate or if a high-risk' status is identified.
Pathway 2 Ingredient Manufacturer assessment	Ingredient Manufacturer	2026-08-04	Blue Shrimp (Litopenaeus vannamei)	Social	Vietnam		2.1/ The company complies with applicable labor laws and regulations. The Collective Labor Agreement is available for the period 2025-2028 dated January 1, 2026 showed that the job positions, wage, working arrangement and salaries/ insurance benefits are specified in accordance with the Labor Code 2019. The application minimum wage for Region III is VND 6,150,000/month, while the reviewed employee's wage is VND 6,750,000/month. 1.4, 1.5, 1.6, 1.10/ There are policies and procedures related to child and young labor, forced labor, non-discrimination and complaint mechanisms. The Social Responsibility Commitment dated January 1,		Document review; interviews with key stakeholders	Keep risk levels under review and verify that all safeguards are working as intended. Perform a formal risk audit every three years, or sooner if risk factors fluctuate or if a high-risk' status is identified.
Pathway 2 Ingredient Manufacturer assessment	Ingredient Manufacturer	2026-05-20	Blue Shrimp (Litopenaeus vannamei)	Legal	Vietnam		The company is located in Long Chan Ward, an area where numerous rice lines processing companies operate and are the river and subject to strict governmental oversight. Business Registration Certificate No. 1500136897 was issued by the Business Registration Office of the Vinh Long Department of Planning and Investment; the initial registration was dated November 5, 2008, and the most recent amendment was registered on May 9, 2022. Environmental registration documents No. 82/SNRT dated March 15, 2022, and the latest environmental protection report No. 80/BC-CTTNHH dated March 15,		Assessments of the status of governance and law enforcement in the source region.	Keep risk levels under review and verify that all safeguards are working as intended. Perform a formal risk audit every three years, or sooner if risk factors fluctuate or if a high-risk' status is identified.
Pathway 2 Ingredient Manufacturer assessment	Ingredient Manufacturer	2026-05-20	Blue Shrimp (Litopenaeus vannamei)	Environmental	Vietnam		5.4B Water Use/ The company sources water from the Vinh Long Water Supply Plant and holds monthly water bills as proof of usage. 5.1/ The company is assessed 'C1a' at supplier. 5.1/ Waste handling: The company has collaborated with Cuu Long Sanitation Environmental Company for hazardous waste treatment under Contract No. 1200458926 dated March 5, 2025. 5.2/ Effluent handling: Wastewater treatment activities are fully detailed in Section 5 of the environmental protection report the maximum volume of wastewater discharged is 1.0 m³/month, based on the company's wastewater records.		Document review; the visit; interviews with key stakeholders	Keep risk levels under review and verify that all safeguards are working as intended. Perform a formal risk audit every three years, or sooner if risk factors fluctuate or if a high-risk' status is identified.
Pathway 2 Ingredient Manufacturer assessment	Ingredient Manufacturer	2026-05-20	Blue Shrimp (Litopenaeus vannamei)	Social	Vietnam		1.2/ Dong Nowaca supplies, Ltd. is committed to complying with applicable labor laws and regulations. Checking Labor Agreement No. 01/2025 ensures that the job position, wage, working arrangement and salaries/ insurance benefits are specified in accordance with the Labor Code 2019. The employee's wage is VND 6,275,000/month, higher than the applicable minimum wage for Region III. 1.4, 1.5, 1.6, 1.10/ There are policies and procedures related to child and young labor, forced labor, non-discrimination, and complaint mechanisms. The Social Responsibility Commitment dated January 1, 2026 establishes a confidential feedback mechanism, prohibits harassment, abuse and discrimination in the		Document review; interviews with key stakeholders	Keep risk levels under review and verify that all safeguards are working as intended. Perform a formal risk audit every three years, or sooner if risk factors fluctuate or if a high-risk' status is identified.